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11 *Attorneys for E\*TRADE Financial Corporation*

12  
13 UNITED STATES DISTRICT COURT  
14 FOR THE NORTHERN DISTRICT OF CALIFORNIA  
15 SAN FRANCISCO DIVISION

16 ELVIA CURIEL-RUTH, on behalf of herself )  
and all others similarly situated )

17 )  
18 Plaintiff, )

19 v. )

20 ROBINHOOD FINANCIAL LLC; )  
ROBINHOOD SECURITIES LLC; )  
21 ROBINHOOD MARKETS, INC.; THE )  
CHARLES SCHWAB & CO. INC.; )  
CHARLES SCHWAB CORPORATION; TD )  
22 AMERITRADE, INC.; WEBULL )  
FINANCIAL LLC; E\*TRADE FINANCIAL )  
23 CORPORATION; INTERACTIVE )  
BROKERS, LLC; CITADEL ENTERPRISE )  
24 AMERICAS, LLC; MELVIN CAPITAL )  
MANAGEMENT LP, )

25 Defendants. )  
26 )  
27 )  
28 )

Case No. 4:21-cv-00829-HSG

**DEFENDANT E\*TRADE FINANCIAL  
CORPORATION'S  
RULE 7.1 CORPORATE DISCLOSURE  
STATEMENT**

1 Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, Defendant E\*TRADE  
2 Financial Corporation makes the following disclosures through its undersigned counsel:

3 Defendant E\*TRADE Financial Corporation merged into E\*TRADE Financial, LLC  
4 effective October 2, 2020. E\*TRADE Financial, LLC merged into E\*TRADE Financial Holdings,  
5 LLC effective December 31, 2020. E\*TRADE Financial Holdings, LLC is a direct, wholly owned  
6 subsidiary of Morgan Stanley Domestic Holdings, Inc. Morgan Stanley Domestic Holdings, Inc. is  
7 a direct, wholly owned subsidiary of Morgan Stanley Capital Management, LLC. Morgan Stanley  
8 Capital Management, LLC is a direct, wholly owned subsidiary of Morgan Stanley. Morgan  
9 Stanley is a publicly held company whose shares are traded on the New York Stock Exchange and  
10 has no parent corporation. Based on the U.S. Securities and Exchange Commission Rules regarding  
11 beneficial ownership, Mitsubishi UFJ Financial Group, Inc. 7-1 Marunouchi 2-chome, Chiyoda-ku,  
12 Tokyo 100-8330, beneficially owns greater than 10% of Morgan Stanley's outstanding common  
13 stock.

1 Dated: March 1, 2021

DAVIS POLK & WARDWELL LLP

2  
3 By: /s/ Neal A. Potischman

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